

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
2695

In Re Application Of: **ALKEMPER, J., ET AL**

Serial No.
10/628,059

Filing Date
07/25/2003

Examiner

Group Art Unit

Title:

SUBSTRATES FOR IN PARTICULAR....



Address to:
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

37 CFR 1.97(b)

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

37 CFR 1.97(c)

2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

☐ the statement specified in 37 CFR 1.97(e);

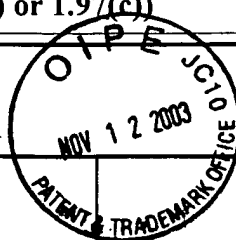
OR

☐ the fee set forth in 37 CFR 1.17(p).

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Payment of Fee

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of _____ is attached.
- ☐ The Director is hereby authorized to charge and credit Deposit Account as described below.
- ☐ Charge the amount of _____
 - ☐ Credit any overpayment.
 - ☐ Charge any additional fee required.

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I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (Fax. No. _____) on _____

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I certify that this document and fee is being deposited on **NOV. 10, 2003** with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Signature of Person Mailing Correspondence

MICHAEL J. STRIKER

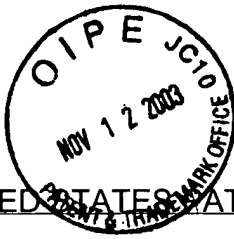
Typed or Printed Name of Person Mailing Certificate

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Dated: **NOVEMBER 10, 2003**

CC:



UNITED STATES PATENT AND TRADEMARK OFFICE

Examiner:.

Group: Attorney Docket # 2695

Applicant(s): ALKEMPER, J., ET AL

Serial No.: 10/628,059

Filed: 07/25/2003

For : SUBSTRATES FOR IN PARTICULAR
MICROLITHOGRAPHY...

INFORMATION DISCLOSURE STATEMENT

November 10, 2003

Honorable Commissioner of Patents
and Trademarks
Washington, D.C. 20231


S I R S:

- _____ In accordance with the Duty of Disclosure, Applicant(s) submit(s) herewith a copy of a Foreign Search Report in a counterpart application and copies of the reference(s) indicated therein.
- _____ In the event that the Foreign Search Report is in a foreign language, a translation thereof is herewith submitted.
- ___X___ Attached hereto is a FORM PTO 1449 listing the references.
- ___X___ Attached hereto is a copy of a reference cited in the specification of the application as filed. The specification itself recites the relevance of these documents, and pursuant to M.P.E.P. Section 609 A (3) this represents an acceptable Statement of Relevancy. The reference(s) appear(s) at the following page(s) and line(s) of the specification: page 1 line 35, page 2 lines 1 and 15; page 8 lines 9 and 12; page 17 lines 33 and 36-38.
- _____ Applicant petitions for consideration of this Information Disclosure Statement since it is being submitted after receipt of an office action. It is respectfully requested that the required fee be charged to the account of the undersigned: 19-4675.

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- _____ Attached hereto are copies of references cited which may be pertinent to this application. Since the references are in the English language, no statement of relevancy is submitted.
- _____ Attached hereto is a copy of the Office Action issued in the corresponding German and European applications, together with a translation thereof and copies of the references cited therein. A list of the cited references is also attached.
- _____ Attached hereto copies of references cited which may be pertinent to this application. A corresponding US reference is also attached.
- _____ Attached hereto is a Statement of Relevancy and copies of references cited therein.
- _____ The relevancy of each reference can be found in the English language Abstract attached thereto

Respectfully submitted,


Michael J. Striker
Attorney for Applicant(s)
Reg. No. 27233

